

On the thermodynamic aspect of zinc oxide polymorphism: calorimetric study of metastable rock salt ZnO

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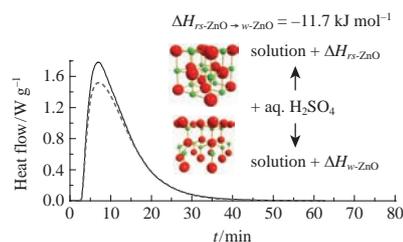
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The enthalpies of dissolution of metastable rock salt (*rs*-ZnO) and thermodynamically stable wurtzite (*w*-ZnO) polymorphs of zinc oxide in aqueous H₂SO₄ have been measured in direct calorimetric experiments at 303 K and 0.1 MPa. Based on the obtained results, the standard enthalpy of the *rs*-ZnO to *w*-ZnO phase transition, $\Delta_{tr}H = 11.7 \pm 0.3 \text{ kJ mol}^{-1}$, has been determined.

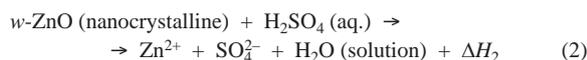
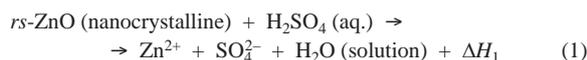


Since the discovery¹ of high-pressure polymorph of zinc oxide, *rs*-ZnO, its synthesis and properties have been intensively studied.^{2–14} The most of these studies were conducted *in situ* at high pressure due to the problems of *rs*-ZnO recovery under ambient conditions.

Recently, an attempt has been made² to extract the thermodynamic data of *rs*-ZnO from available *ab initio* simulations and experimental data on the pressure-induced phase transition of *w*-ZnO to *rs*-ZnO. However, neglecting the strongly pronounced kinetic features of this transition below 1000 K⁸ rendered these data ambiguous.³ As a result, a completely incorrect set of thermodynamic functions of *rs*-ZnO still prevails in the literature.

Recently, it was found that single-phase *rs*-ZnO synthesized at 7.7 GPa and 800 K could be completely recovered in the form of macroscopic (> 100 mm³) bulks under normal conditions.¹⁰ At ambient pressure, these bulks are kinetically stable up to 370 K, which allows the direct measuring of thermochemical properties of metastable *rs*-ZnO to be performed by conventional calorimetry. In the present work, enthalpies of dissolution of two ZnO polymorphs in aqueous H₂SO₄ were measured by solution calorimetry under ambient conditions.

The following reactions occur in the calorimetric vessel at 302.75 K after piercing the membrane between the two cells and mixing the components:



Experimental heat flow curves of dissolution of nanocrystalline *rs*-ZnO and *w*-ZnO bulks[†] are shown in Figure 1. The

enthalpy values measured in calorimetric runs are ΔH_1 and ΔH_2 (see Table 1). In both cases, the final state is the same, *i.e.* ZnSO₄ solution in aqueous H₂SO₄. Since the real structure and impurity content for both *rs*-ZnO and *w*-ZnO samples are almost the same, one can conclude that the $(\Delta H_1 - \Delta H_2)$ value corresponds to the enthalpy of phase transition between two ZnO polymorphs, *i.e.* to the *rs*-ZnO to *w*-ZnO transition enthalpy ($\Delta_{tr}H$) at 302.75 K.

The $\Delta_{tr}H^0$ value of $-11.7 \pm 0.3 \text{ kJ mol}^{-1}$ determined by solution calorimetry also may include some strain energy that is usual for bulk samples quenched from high pressure–high temperature conditions. However, this contribution should not exceed -0.1 kJ mol^{-1}

Table 1 Calorimetric data on the dissolution of nanocrystalline ZnO bulks in 2 N aq. H₂SO₄ at 302.75 K.

Run	<i>rs</i> -ZnO		<i>w</i> -ZnO		$\Delta_{tr}H / \text{kJ mol}^{-1}$
	<i>m</i> /mg	$\Delta H_1 / \text{J g}^{-1}$	<i>m</i> /mg	$\Delta H_1 / \text{J g}^{-1}$	
1	19.64	-1291.6	15.76	-1150.9	-11.5
2	6.59	-1320.5	6.55	-1184.4	-11.1
3	2.58	-1325.6	4.17	-1171.8	-12.5

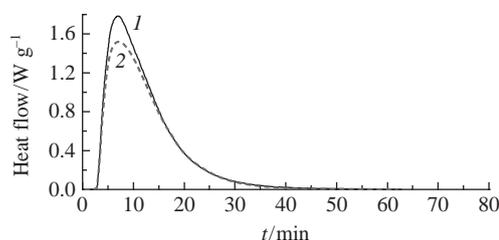


Figure 1 Heat flow curves of dissolution of nanocrystalline zinc oxide in 2 N aq. H₂SO₄ (see Table 1, run 2): (1) bulk *rs*-ZnO and (2) bulk *w*-ZnO.

[†] Single-phase nanocrystalline bulk *rs*-ZnO was synthesized from *w*-ZnO nanopowder at 7.7 GPa and 800 K with subsequent rapid quenching. The details of high-pressure synthesis and characterization of recovered samples were described elsewhere.¹⁰ Nanocrystalline bulk *w*-ZnO to be used as a reference sample was produced by a reverse phase transition

of nanocrystalline bulk *rs*-ZnO as a result of heating from 300 to 523 K with heating rate of 1 K min⁻¹ with DSC monitoring of the transition. This approach was used to eliminate possible influence of grain size and surface contribution on heat effects as the ‘secondary’ bulk *w*-ZnO should inherit the whole prehistory of starting *rs*-ZnO, except for crystal structure. Phase purity of the samples was confirmed by powder X-ray diffraction.

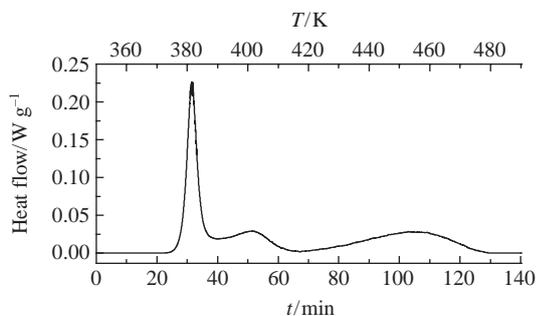


Figure 2 Heat flow curve of solid-state phase transition of nanocrystalline *rs*-ZnO bulk (16.13 mg) into *w*-ZnO under linear heating of 1.0 K min⁻¹.

similar to those for ball-milling nanocrystalline silicon¹⁵ and cold rolling nanocrystalline copper.¹⁶

We have also conducted calorimetric study of the solid-state *rs*-ZnO to *w*-ZnO transition in a linear scanning mode at heating rate of 1.0 K min⁻¹ in the 300–500 K temperature range. The resulting heat flow curve is presented in Figure 2. The obtained transition enthalpy of 12.0 ± 0.2 kJ mol⁻¹ is in excellent agreement with the corresponding value from our isothermal experiments. Thus, two independent calorimetric techniques used in the present work gave very close values for the *rs*-ZnO to *w*-ZnO transition enthalpy.[‡]

Earlier,² the key thermodynamic value, $\Delta_{tr}G^0 = 23.12$ kJ mol⁻¹, corresponding to the *w*-ZnO to *rs*-ZnO phase transition at 0.1 MPa and 298.15 K, was estimated exclusively from one of the most questionable values, the room-temperature equilibrium transition pressure, P_{tr} . The choice of $P_{tr} = 9.6$ GPa based on averaging value of *ab initio* estimations (that vary from 7.4 GPa¹² to 12.7 GPa¹³) and one randomly chosen value of the onset pressure of kinetically hindered phase transition at 300 K (that also varies from 7.5 GPa⁷ to 10.0 GPa¹⁴). All this leads to completely wrong equilibrium P – T line in the high pressure–high temperature region, where the transition is governed by thermodynamics, and equilibrium experimental data are available.^{6,7}

The standard enthalpy of the *w*-ZnO to *rs*-ZnO phase transition, $\Delta_{tr}H^0(298.15\text{ K}) = 11.7 \pm 0.3$ kJ mol⁻¹, derived from our experimental results is two times lower than the ‘recommended’

value, $\Delta_{tr}H^0(298.15\text{ K}) = 23.93 \pm 3.11$ kJ mol⁻¹ (see ref. 2) under unfounded suggestion that $P_{tr} = 9.6$ GPa. Indeed, it is known that *w*-ZnO starts to transform into *rs*-ZnO already at pressures above 5 GPa.^{6–8} At room temperature, this transition is very sluggish due to the existence of a strong kinetic barrier, which hinders the nucleation of a new phase.⁸ The transition pressure depends on temperature and shows a substantial (from 9 to 2 GPa at room temperature) hysteresis.^{6,7} The hysteresis loop decreases as the temperature rises, and above 1000 K the branches of direct and reverse transitions merge at pressure of about 5.8 GPa, which can be considered as the equilibrium pressure of this phase transition. Based on more reasonable choice of the transition pressure at 300 K, *i.e.* $P_{tr} \approx 5.8$ GPa, the $\Delta_{tr}H^0$ value should be about 14 kJ mol⁻¹, which is in agreement with the experimental value of transition enthalpy found in the present work.

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[‡] A Calvet calorimeter (SETARAM C80) operated in isothermal and linear scanning modes was used. Heat flow calibration of the instrument was done using the EJ3 calibration unit and Joule effect calibration cells (SETARAM S60/1434) and checked with the melting enthalpy of indium. Isothermal experiments were performed at 302.75 K in 2-cell calorimetric vessels made of stainless steel with Teflon membranes. Mixing was performed by reversing the calorimetric block. 2 N aq. H₂SO₄ was prepared from 95% H₂SO₄ and deionized water. Initial and final concentrations of H₂SO₄ were checked by DMA 35 Ex Anton Paar density meter. Bulk ZnO (from 3 to 20 mg, with ±0.01 mg accuracy, see Table 1) was dissolved in 2.0 ml (with ±0.1 mg weight monitoring) of 2 N aq. H₂SO₄ under strictly isothermal conditions (±0.01 K), and the heat production rate was measured. The experimental data were processed using Calisto (AKTS AG) and TDPro (CISP Ltd) software packages.